

NEIGHBORHOOD DEVELOPMENT FUND

Not-for-Profit Request

DATE: 7-21-05

TO: Appropriations Committee

FROM: Council Member Tandy

RE: Request for Neighborhood Development Fund to be considered by the Appropriations Committee.

I have reviewed the attached Proposal in the amount of \$ 9300 through the Finance & Budget ~~Health Committee~~ for Elderserve, Inc. and have found it complete and within our guidelines. I/We have read the organization's statement of public purpose to be furthered by the funds requested and I/We agree that the public purpose is legitimate. I/We have also completed the disclosure section below.

Please add this Grant Proposal Agreement to the agenda of the next Appropriations Committee Meeting.

Kelly \$1,300.00
Signature of Council Member

Mary Stroh 500.00
Signature of Council Member

James \$1300.00
Signature of Council Member

Thomas R. Owen #1,300
Signature of Council Member

George Melton 1,300
Signature of Council Member

Kelly Howard/dc #1,300
Signature of Council Member

Cheri B. Hamilton #1,300
Signature of Council Member

Glen Stahl /ke #1000
Signature of Council Member

DISCLOSURE

List below any relation you have with the organization requesting the grant (your, your family, your legislative assistant or any city employee to this organization and to any member of the organization's board of directors or their employees.)

Approved by:

Appropriations Committee Chairman

Date

OFFICE OF METRO COUNCIL CLERK

RECEIVED

DATE 2/8/06 TIME: 4:15 PM



August 11, 2005

Councilwoman Cheri Bryant Hamilton
Louisville Metro Council
601 W. Jefferson St.
Louisville, KY 40202

Dear Ms. Hamilton,

After discussion with your Legislative Assistant, Myra Friend Ellis, and Metro Councilmembers Leonard Watkins, David Tandy, and Mary Woolridge, I am writing to request support for ElderServe in the coming year. Although ElderServe received a generous allocation of \$183,700 through the External Agency Process for the current year, it is \$8,000 less than we received last year. In addition, the agency already had a projected deficit of \$24,000, bringing our funding gap to \$32,000. To avoid service reductions, we are asking for help from you and your Metro Council counterparts.

ElderServe is Metro Louisville's oldest and largest agency serving the elderly, touching nearly 8,000 lives a year. The issues of the elderly impact our entire community, not just the downtown and inner city. ElderServe's award-winning Emergency Crisis Response Team, the only one in the nation, serves elderly victims of caregiver abuse and domestic violence throughout the Metro area. Our TeleCare program calls 700 homebound people daily just to make sure that they are alive. Our Home Care and Senior Companion Programs serve frail homebound seniors in all areas. We recently implemented twice-weekly social services in the Berrytown/Griffytown east-end communities. The Oak & Acorn Center, located in Louisville's west-end, is the designated Focal Point Senior Center for Jefferson County. Finally, our Caregiver's Services provides support groups, free respite services and counseling, and educational seminars for those caring for elderly loved ones all around Jefferson County. ElderServe's SIT-UP Program helps stabilize the Dosker Manor complex, which now houses hundreds of mentally and physically handicapped adults as well as frail elderly residents. Throughout the year there are many other community-wide programs ElderServe conducts, such as working with the Salvation Army to deliver hundreds of Thanksgiving boxes of food to the needy. Recently we partnered with WHAS-TV to collect and distribute fans to over 300 seniors who were at risk in their own homes due to the extreme heat.

All of these programs require matching funds and infrastructure support from ElderServe. We are currently using internal ElderServe funds to support our nutrition sites that feed close to 200 people daily; our transportation program that brings frail seniors to our centers and delivers meals to the homebound; to match the funds we receive for caregiver respite services; and to match the other costs of our services to clients.



While the total budget for these services is \$570,000, ElderServe is able to raise all of it but \$32,000 through our own earned income, contracts, and fund-raising activities. Without these funds we are faced with the choice of discontinuing our transportation services, limiting our respite care, or curtailing other services to the community at large. To avoid such action, we are requesting the Council's help in raising the \$32,000 short-fall, to be allocated as follows:

- \$16,000 towards the \$50,000 cost of transporting seniors.
- \$ 8,000 towards the \$23,250 cost of providing meals to seniors.
- \$ 8,000 towards the \$15,000 cost of providing caregiver services

In our 44 years of service, we have never come to the Council with this type of request. However, as our community ages at an ever increasing rate, the demand for our services is likewise increasing alarmingly. Please help us address the impact of the coming "age wave" so that we are not leaving our elderly behind. Thank you for your careful consideration of this request,

Very truly yours,



Harriette Friedlander, MSW, ACSW
Executive Director



Eleanor L. Foreman, President
Board of Directors

Encl.

Copy: Councilman David W. Tandy
Councilman Leonard A. Watkins
Councilwoman Mary C. Woolridge



SECTION ONE: **DESCRIPTION OF APPLICANT AGENCY/ORGANIZATION**

IDENTIFYING INFORMATION

I. **Official Name of Agency/Organization (Agency) as listed with the Kentucky Secretary of State:**
ElderServe, Inc.

II. Organization number as listed with the Kentucky Secretary of State: 0048013

III. List any "working" or "does business as" names for organization:
Oak & Acorn Intergenerational Center

IV. Address of main office: (street and zip + 4)
411 E. Muhammad Ali Blvd.
Louisville, KY 40202-1501

V. P. O. / mailing address if different: _____ (zip + 4) _____

VI. Phone # (502) 587-8673 Fax# (502) 587-0222

VII. E-Mail hfriedlander@elderserveinc.org

VIII. Agency's Legal Signatory/Title

Name Harriette Friedlander

Title
Executive Director

IX. Contact person responsible for application:

A. Name: Harriette Friedlander

B. Phone # (502) 587-8673 Fax# (502) 587-0222

C. E-Mail hfriedlander@elderserveinc.org

DESCRIPTION OF AGENCY

I. Describe your Agency's vision, mission and services:

ElderServe improves the quality of life for older adults in our community. We accomplish this mission through a continuum of programs directed at supporting the well, caring for the frail, and offering support and guidance to those who care for them. ElderServe's vision is to be the central point of information and help for the aging and their families in the Louisville Metro area.

ElderServe's services include: (1) In-home services: non-medical home care and Senior Companions; (2) Individual Services: case management; daily Telephone Reassurance; supportive services in public housing; commodity distribution; information and referral; advocacy; counseling; and caregiver support services, including respite; (3) Group Activities: nutrition, education, health promotion, recreation, and transportation; (4) Services to elderly victims of violent crime, emotional, physical and financial abuse, including emergency services; (5) Medical Model Adult Day Care; and (6) Volunteer activities.

- II. Total number of Board members 30
- III. Number of Board meetings held to date in current fiscal year 1
- IV. Average attendance at Board meetings 57%

FACILITIES

- I. List location(s) and terms (owned, rented, leased, or donated). leased, Louisville
A. Administrative offices, Dosker Manor, 411 E. Muhammad Ali Blvd., Metro Housing Auth.
B. Oak & Acorn Intergenerational Center, 631 S. 28th St., 40211, leased, Commonwealth
C. of Kentucky
D.
II. Are all facilities handicapped accessible? Yes X No _____
III. If no, please explain:

FINANCIAL INFORMATION

- I. Agency's fiscal year from (month) July to (month) June
- II. Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? No _____ Yes X
- III. If yes, please explain.
The \$125,000 HUD grant funding for SIT-UP was not renewed for FY05-06. We have received some temporary funding for this program from the Housing Authority of Louisville and have resubmitted an application to HUD.
- IV. For the **current fiscal year**, list funds received from Louisville Metro Government, including funds from any department, office, etc. in either the former City of Louisville or Jefferson County.

\$ 183,700 Source:
City of Louisville -- LMHS

\$ 4,120 Source:
Nutrition contract

\$ _____ Source:

\$ _____ Source: _____

V. Provide one copy only of each of the following, as appropriate (4 points):

- A. Articles of Incorporation.
- B. Approved budget or executive summary for your Agency's current fiscal year.
- C. Proof of IRS 501(C) (3) status, or application for this IRS status, if applicable.
- D. Staffing structure for entire Agency, including organizational chart.
- E. Board member list; specify chair, vice-chair, secretary, and treasurer.
- F. If your Agency is an employer required to have a written Affirmative Action/Equal Employment Opportunity policy: copy of policy.
- G. If rent/occupancy costs are being requested: copy of the signed lease.
- H. If program participants have the opportunity to evaluate the services received: one copy each of any forms used.

VI. List below any relationship any members of your Board of Directors or employees have with any Metro Council Member, Council Member's family, Council Member's staff, or any Louisville Metro Government employee.

--4th District Councilman, David W. Tandy, is a member of ElderServe's Board of Directors

--ElderServe Board Member, Debbie Prewitt, is married to Jeff Prewitt, Director of
Louisville Metro Civil Service Board

VII. I certify under the penalty of law that the information in this application is accurate to the best of my knowledge. I am aware that my Agency will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am authorized to sign this application for the Agency.

Name of Legal Signatory: (type or print) Harriette Friedlander

Title: Executive Director

Signature Harriette Friedlander

Date 8 / 11 / 05

LOUISVILLE METRO COUNCIL
APPLICATION FORM FOR
NEIGHBORHOOD DEVELOPMENT FUNDS
(2005-2006)

Proposed Activity/Need: Maintenance of effort to allow seniors to stay in their homes safely

Name of Applicant Agency: ElderServe, Inc.

AMOUNT OF FUNDING REQUESTED \$32,000

I. **Contact Person responsible for the Activity described in this proposal:**

A. Name Harriette Friedlander

B. Title Executive Director

C. Phone # (502) 587-8673 Fax # (502) 587-0222

D. E-mail hfriedlander@elderserveinc.org

2. **If funded, this activity will further which of the major goals of Louisville Metro listed below.**

☐ Bringing Us Together

☒ Keeping Us Safe

☐ Promoting Education and Growing Jobs

☐ Enhancing Neighborhoods and Protecting Our "Louisville" Quality of Life

3. **If funded, this activity will strengthen (check one):**

- ☒ Youth (teenagers, ages 13-19)
- ☐ Human Services (Citizens with barriers to meeting basic human needs)
- ☐ Arts/cultural
- ☐ Neighborhoods
- ☐ Business Associations
- ☐ Parks
- ☐ Community Activities and Events
- ☐ Other: if you do not believe your proposal fits any of the above, please describe the nature of your request:

4. **If approved, Louisville Metro Funds will be used for (check one)**

- ☒ Operating Funds (cannot exceed 33% of agency's total budget)
- ☐ Programming/services/events for direct benefit to community or qualified individuals
- ☐ Capital equipment (small operating equipment which may be used to benefit the individuals or community being served. (No building or renovations)

5. **PROPOSAL DESCRIPTION:** Describe how you are going to further one of the four major goals of Louisville Metro Government by this proposal. (See #2)

ElderServe's programs maintain elderly in their homes safely.

Services provide a daily safety check, either through the phone or through attendance at activities. Caregiver services provide safety for those at-risk elderly cared for by their families.

6. **Describe the activity being proposed to address the goal.**

1. Transporting seniors to social services, meals programs, recreational activities, Adult Day Care Center.

2. Daily nutrition program

3. Caregiver support, education, counseling and respite.

7. **Describe how the funding is to be used. BE SPECIFIC.**

\$16,000 towards cost of providing transportation

8,000 towards cost of providing congregate and homebound meals

8,000 towards cost of providing caregiver services

8. **Describe the results/goals for this proposal. How will you know it is successful?**

1. Seniors will attend Adult Day Care, recreation, educational and support services.

2. At least 80 seniors will receive daily meals

3. Caregivers will receive free respite, counseling, support and education to allow them to deal with those for whom they are caring.

EXPECTATIONS/REQUIREMENTS INCLUDE BUT ARE NOT LIMITED TO:

- a. Participate in post-award training.
- b. Make all program and financial records available to any monitors from Louisville Metro to assure compliance with the approved funding.
- c. Failure to provide the services, programs or projects included in the agreement will result in funds being withheld, or in requirement for reimbursing Louisville Metro.
- d. Return to Louisville Metro of any unexpended funds by July 31, 2006.
- e. Documentation of all expenditures (canceled checks, receipts, paid invoices)

COMPLETE PAGE 3 -BUDGET SUMMARY STATEMENT FOR THIS PROJECT.

STAFF ONLY:

_____ Description of Applicant Agency/Organization Complete

_____ All documentation is attached: 501(c)3 status, Articles of Incorporation, Secretary of State status, EIN (Employer Identification Number)

PROJECT/PROGRAM BUDGET SUMMARY STATEMENT

AGENCY NAME: ElderServe, Inc.
Maintenance of effort to allow seniors
 Project/Program Name: to stay in their home safely
 This Project/Program Proposal is # 1 of 1



REVENUES ANTICIPATED	2005-2006	%
	Round to the nearest \$100	of Total Revenue
Louisville Metro Government Requested of Metro Agency: Metro Council	\$ 32,000	1.3
State of Kentucky	693,100	27.3
Federal Government (Including Federal Pass-thru to State)	668,400	26.4
United Way	286,200	11.3
Fees for Services	358,500	14.1
Private Contributions	21,000	.8
Interest Income	30,000	1.2
Other Sources (Please specify) LMHS--Nutrition Contract	4,100	.2
LMHS	183,700	7.2
Fundraising (net)	242,100	9.5
Other grants	16,900	.7
TOTAL REVENUES	\$ 2,536,000	100%

OPERATING EXPENSES		
Personnel (including all fringes)	2,137,700	84.0
Operating (Contractual and Supplies)	402,600	15.8
Capital Equipment (Small Operating Equipment)	4,000	.2
TOTAL EXPENDITURES	\$ 2,544,300	100%

Value of in-kind assets, such as donated space, supplies, use of equipment, etc.	\$ 173,600
Value of volunteer services and how computed: \$6.00 hr; Senior Companion physicals per doctor statement @ \$95	\$ 168,700

ARTICLES OF INCORPORATION

OF

SENIOR HOUSE, INC.

We, the undersigned, Mathilda Meyer, Jean F. Traub, Marion W. Horner, George D. Kobick and William L. Jones, all of Jefferson County, Kentucky, each of whom is a natural person over the age of 21 years, associate ourselves together to organize a non-profit corporation without capital stock or stockholders, under the provisions of Chapter 273, of Kentucky Revised Statutes, and for that purpose adopt the following Articles of Incorporation.

ARTICLE I

The name of the corporation is "Senior House, Inc."

ARTICLE II

Any provision of this Article to the contrary notwithstanding, directly or by implication, the corporation shall not have any purpose or object, nor have or exercise any power or engage in any activity, which in any way may contravene or is in conflict with the provisions of Paragraph 1 of Article II of these Articles of Incorporation.

The objects and purposes of the corporation and the powers it shall have and may exercise are as follows:

1. To conduct and carry on its work not for profit but exclusively for religious, charitable, scientific, literary, or educational purposes in such manner so that no part of its income or property shall emure to the private benefit of any donor, member, trustee, or individual, having a personal or private interest in the activities of the Corporation and in

STATE OF KENTUCKY)
(
COUNTY OF JEFFERSON)

Before me, the undersigned, a notary public, within and for the State and County aforesaid on this day appeared
Mathilda Meyer, Jean F. Traub, Marion E. Horner, George D. Kobick and William L. Jones, all personally known to me and incorporators of Senior House, Inc., and they and each of they acknowledged and delivered the foregoing instrument of writing to be the Articles of Incorporation of said Corporation and to be the act and deed of each of them as incorporators thereof.

My notarial commission will expire Jan. 14, 1966.

IN TESTIMONY WHEREOF, witness my signature and notarial seal hereunto affixed in the State and County aforesaid this
7 day of September, 1962.

Albert F. Reutlinger
Notary Public, Jefferson County, Ky.

Original copy filed and
recorded

Sept. 10, 1962

such manner that it shall not directly or indirectly engage in carrying on propaganda or otherwise attempting to influence legislation.

2. Without limiting the generality of the foregoing to organize, operate, promote, foster and assist (whether financially or otherwise) such activities and undertakings as will provide for elderly people and senior citizens counseling and referral services, a center of activity and interest designed to increase their social, educational, cultural and recreational opportunities and to furnish a medium for co-operation with other community organizations and agencies interested in problems of elderly people and senior citizens.

3. In furtherance of the aforesaid purposes,

a. To acquire by gift, exchange, or otherwise, property of any and all kinds, and to sell, transfer and otherwise dispose of any property it so acquires.

b. To invest and reinvest any such property and the increments or proceeds of any such property.

c. To give, donate and contribute to any of the activities the Corporation may elect to sponsor, or in furtherance of any of the aforesaid purposes for which the Corporation is organized, such money or property, or both, as the Corporation's Board of Directors may from time to time determine.

d. To take title to, and hold in its own name, such real or personal property, or both, and such interests in either such type of property as the Corporation may acquire, for the purposes herein set out, and to sell, transfer and dispose of any

such property or reinvest the proceeds thereof as herein permitted

e. To accept gifts, bequests or devises of property of any kind which any person, firm or corporation make to the Corporation, upon the ^terms, trusts and conditions set forth in deed of gift, will, or other instrument of writing, exercised by any such donor or testator, but only for the purposes and upon the terms and conditions and with the powers set forth in these Articles of Incorporation.

f. To borrow money and give security therefor by pledging, mortgaging or otherwise hypothecating any property it may own, or any interest it may have in such property.

g. To operate any business, enterprise or property the Corporation may have or acquire, but only for the purposes permitted by these Articles of Incorporation. Provided, however, that in the operation of such business, enterprise or property, the Corporation shall devote the entire net income or net profit thereof, or both, only to the purposes for which this Corporation is organized. Nevertheless, the provisions hereof shall not be deemed to prevent the Corporation, in the operation of any such business, enterprise or property, from paying reasonable compensation for services actually rendered in the operation thereof.

h. To do any and all things which the Corporation's Board of Directors may determine, consistent, with the provisions hereof; to be necessary or appropriate to effectuate the purposes for which the Corporation is organized, as hereinset forth, to the extent that the doing of such act or thing is not inconsistent with the provision of Chapter 273 of Kentucky Revised

Statutes, or any other applicable law or statute of the Commonwealth of Kentucky.

4. The Corporation shall have the following additional powers:

- a. To have a corporate seal and alter it at pleasure;
- b. To sue and to be sued in its corporate name;
- c. To contract and to be contracted with;
- d. To the extent, for the purposes, and in the manner herein provided, to acquire, hold, lease, improve, sell, dispose of, exchange pledge or mortgage, such property (real and personal) as its purposes may require, subject to any limitation prescribed by law or by these articles of Incorporation;
- e. To make by-laws consistent with law in the manner hereinafter provided;
- f. To the extent, and in the manner permitted by law, and provided in these Articles of Incorporation, to promote the purposes for which it was formed;
- g. To become a member of any other non-stock or non-profit corporation or to become affiliated with any other organization of like character;
- h. To the extent permitted by law, to enter contracts with any corporate trust company for the purpose of delegating to it the power, or employing it, to make investments in behalf of the Corporation, and to do such other things permitted by these Articles of Incorporation as the parties may agree upon, and, without limiting the generality of the foregoing, but in furtherance thereof, to enter trust agreements, irrevocable or otherwise, with any such corporate trustee, and therein to

authorize any such corporate trustee to employ agents, attorneys, accountants and others in connection with the performance of any duty or trust arising under such agreements.

ARTICLE III

1. The Corporation shall have perpetual duration.

2. The Corporation's existence shall begin when the Secretary of State of Kentucky shall have issued the Certificate of Incorporation.

3. The Corporation may be dissolved:

a. Pursuant to the prior written consent of three-fourths of its directors, or

b. By a resolution adopted by the affirmative vote of three-fourths of its directors at a meeting called for that purpose, which meeting shall be held only after the Secretary of the Corporation shall have caused ten days prior written notice of the time, place and purpose of the meeting, to be sent via registered United States mail, postage prepaid, to each director at his last known address as shown by the Corporations records.

4. After dissolution shall have been determined upon, notice thereof shall be given to such officers and in such manner and form as may be required by law, and all procedures required by law, to effect such dissolution shall be taken. Upon dissolution of the Corporation, its Board of Directors shall apply any assets not theretofore allocated or disposed of to such of the uses and purposes set out in Article II hereof, as said Board of Directors may determine.

ARTICLE IV

1. The Corporation shall have neither capital stock nor stockholders, and its business and affairs shall not be conducted for private pecuniary gain or profit, nor shall any of its gain, profit or property inure to the incorporators thereof, or to any members or director thereof, nor to any officer thereof, except as compensation for services actually rendered, but its entire gain, profit, net earnings and property shall be devoted exclusively to the religious, charitable, scientific, literary and educational purposes set out and referred to in Article II hereof.

2. It shall, nevertheless, be competent for the Corporation to cause to be issued to its members and its directors, or both certificates in such form as its Board of Directors may determine, evidencing a membership or directorship, or both, of the person to whom any such certificate is issued.

ARTICLE V

Until otherwise changed, the principal office of the Corporation shall be c/o The Louisville Trust Company, 200 S. Fifth Street, Louisville, Kentucky, and the name and address, including street number of its resident agent for service of process are William L. Jones, c/o The Louisville Trust Company, 200 S. Fifth Street, Louisville, Kentucky.

ARTICLE VI

The names and postoffice addresses of its directors who are to serve until the first annual meeting of its members, or until the size of the directorate is determined and it be filled

in accordance with the Corporation's By-Laws, are:

Mathilda Meyer
4615 Hanford Lane
Louisville, Kentucky

Jean F. Traub
524 Ridgewood Road
Louisville, Kentucky

Marion W. Horner
113 Tribal Road
Louisville, Kentucky

George D. Kobick
213 Morbourn Boulevard
Louisville, Kentucky

William L. Jones
200 South Fifth Street
Louisville, Kentucky

ARTICLE VII

1. The five persons listed in Article VI above shall constitute the original Board of Directors.

2. The Corporation shall have between twelve and twenty directors, the exact number to be determined by the Corporation's By-Laws.

3. Vacancies in the Board of Directors shall be filled by the directors themselves in any manner they shall determine.

4. The term of the directors and other matters pertaining to them shall be determined in the By-Laws.

5. The directors shall determine a quorum for the conduct of their business.

ARTICLE VIII

1. Qualifications for membership in the Corporation, the date of the annual meeting, the determination of a quorum thereat and the provisions for notice thereof shall be as determined by

the directors.

2. The directors shall adopt suitable By-Laws for the conduct of the Corporation's business, and from time to time --may revise or amend same.

3. This Corporation having been organized under K.R.S. 273, 020, its directors shall have the power to amend these Articles of Incorporation in accordance with the provisions of K.R.S., 273,050.

IN TESTIMONY WHEREOF, witness the signatures of the parties hereto this 7 day of September, 1962.

Mathilda Meyer

Jean F. Traub

Marion W. Horner

George D. Kobick

William L. Jones

Commonwealth of Kentucky

Department of State



Office of Secretary of State

HENRY H. CARTER, SECRETARY
DOMESTIC CORPORATION DEPARTMENT

NON-STOCK CORPORATION

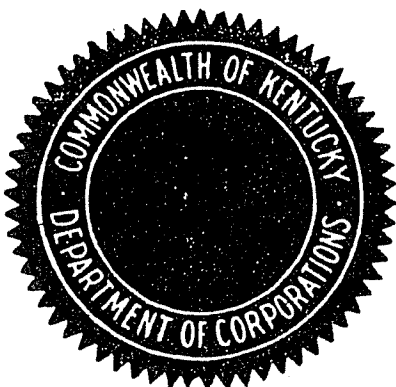
I, HENRY H. CARTER, Secretary of the State of Kentucky, hereby certify that Articles of Incorporation of the

SENIOR HOUSE, INC.

(Louisville, Kentucky)

has this day been filed in my office.

It appearing from said Articles of Incorporation that the said Corporation has no capital stock, and no private pecuniary profit is to be derived therefrom, the said Corporation is not required by law to pay a tax on organization; and it further appearing that the aforesaid Corporation has complied with all the requirements of the law, this certificate is issued as evidence of the fact that the said Corporation is now authorized and empowered to do business in this State under its charter, subject to the restrictions imposed by the statutes of Kentucky.



SECRETARY OF STATE

Given under my hand as Secretary of State,
this 10th day of September 1962

By

Henry H. Carter

Secretary of State

A. J. Lynn

Assistant Secretary of State

ARTICLES OF AMENDMENT
TO THE
ARTICLES OF INCORPORATION
OF
SENIOR HOUSE, INC.

RECEIVED & FILED

#807

JUL 26 1990

Dep

Pursuant to the provision of KRS 273.267, the undersigned corporation executes these Articles of Amendment to its Articles of Incorporation:

FIRST: The name of the corporation is Senior House, Inc.

SECOND: The following amendment to the Articles of Incorporation was adopted by the majority of board of directors of the corporation, for which there are no members entitled to vote thereon, on July 26, 1990, in the manner described for Kentucky nonstock, nonprofit corporations.

RESOLVED, that the first Article of the Articles of Incorporation of the Corporation be deleted in its entirety and replaced by the following:

ARTICLE 1

The name of the Corporation shall be:

ElderServe, Inc.

THIRD: The foregoing amendment was adopted by the board of directors of the undersigned corporation on July 26, 1990.

SENIOR HOUSE, INC.

BY:

TITLE:

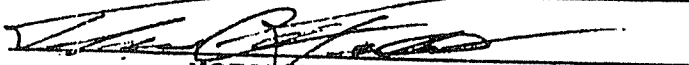
Robert K. McAllister
Vice President

COMMONWEALTH OF KENTUCKY)


COUNTY OF JEFFERSON)

I, a notary public, do hereby certify that on this 26th day of September, 1990, Robert V. Smallwood personally appeared before me, who, being duly sworn, declared that he is the Vice President of Senior House, Inc., a Kentucky corporation, and that he signed the foregoing document as Vice President of the Corporation, and that the statements contained therein are true.

My commission expires:

Sept. 26, 1991

 NOTARY PUBLIC
State at Large

THIS INSTRUMENT PREPARED BY:


 James C. Seiffert
 STITES & HARBISON
 600 West Main Street
 Louisville, Kentucky 40202
 (502)587-3400

END

1475307
 1990 OCT 5 PM 3:31
 168111 9-15005
 [Signature]

Elderserve, Inc.
Budget Worksheet
FY 2005/2006

Account Description	
KIPDA - Homecare	623,000
KIPDA IIIB	68,158
KIPDA IIID	11,504
KIPDA - Adult Day Care Ct	56,000
KIPDA- Caregivers	50,749
KIPDA - Caregivers Respite	(2,575)
KIPDA - Derby Dance	1,500
CNCS - Sr. Companion I	274,017
VOCA - Crime Assistance	69,854
HUD - Independence Support	81,258
Metro City of Louisville	183,700
Jefferson Co. - Dosker Manor	4,120
Metro United Way	273,468
Special Grants - Oak & Acorn(WW)	11,500
Special Grants - ECRT	12,757
Special Grants - Crime Assistance	5,100
Medicaid Reim. - Adult Day Ca	120,000
Logisticare	8,100
Private Pay- Homecare	321,000
Private Pay - Adult Day	37,500
Fundraising - Champion for the Agin	70,000
Fundraising - Derby Dance	5,800
Bingo Revenue Oak & Acorn	12,500
Bingo Revenue Elderserve	163,000
Contributions	20,995
Contributions - RMM	138,000
In-Kind Contributions	106,528
In-Kind Derby Dance	1,100
Contributed Facility	168,213
Net Endowment Revenue - Oper/Sup	30,000
Other Revenue - Operations/Sup	300
Total Revenues and Support	<u><u>2,927,146</u></u>

Elderserve, Inc.
Budget Worksheet
FY 2005/2006

Salaries	1,085,759
Salaries - Respite	10,765
Salaries (HC Workers) - Grant/	553,400
Volunteers	89,530
Fica Taxes	82,534
Fica Taxes (HC Workers) - Gran	41,578
Unemployment	5,856
Medical Insur	84,000
Life & LTD	13,305
Pension	4,060
Workers Comp	65,000
Other Benefits	8,435
Volunteer Stipends	165,996
Volunteer Meals	16,320
Volunteer Physicals	12,730
Volunteer Recognition	4,520
Travel Reimb	61,518
Contr Labor/Maint	3,000
Contr Labor- Lawn Care/Trans	15,500
Contr Labor - William Weathers	4,940
Contr Labor - Neighborhood H	3,120
Contr Labor - PR/Marketing	7,150
Contr Labor/Other	13,400
Consult/Comp	11,000
Consult/Payroll	7,500
Consult/Finance	19,316
Consult/Other	5,600
Office Supplies	9,625
Program Supplies	31,229
Program Supplies -WW	600
Event Supplies- Derby Dance	5,900
Event Supplies - Luncheon	10,500
Event Supplies - RMM	13,000
Newsletter	8,440
Printing/Copying	15,510
Copier Lease	2,100
Advertising	4,760
Dues & Subs	4,110
Phones/Office	17,281
Cell Ph/Pagers	4,065
Postage	6,620
Rent	3,600
Contr Facility	168,213
Property Insur	1,050
Trash/Security/Maint - Oak & A	27,000
Building Supplies	8,800
Utilities - Oak & Acom Bldg	21,800
Local Mileage	10,850
Comm Meetings	4,650
Volunteer Supplies	1,200
Training/Confer	7,315
Liab Insur - Sr Companion II	310
Insur Liab/Other	23,290
Bingo Expense	119,000
Other Expenses	1,000
Equipment	3,950
Repair/Maint Equip	10,100
Vehicle Gasoline	9,600
Vehicle Maint	6,240
Vehicle Insurance	12,940
Depreciation Expense	0
Total Expenses	<u>2,970,480</u>
Net Income before Allocation	<u>(43,334)</u>

District
Director

P.O. Box 2508
Cincinnati, OH 45201

Date: JAN 10 1992

Person to Contact:

Gordon Schnur

Telephone Number:

513-684-3957

Refer Reply to:

EP/EO

Employer Identification Number:

61-6024140

Elderserve Inc.
411 E. Muhammad Ali Blvd.
Louisville, KY 40202-1596

Dear Sir or Madam:

This is in response to you requesting a copy of your determination letter.

Our records indicate that by a determination letter issued in February, 1968 your organization was recognized as exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code of 1954. That letter is still in effect.

Based on information subsequently submitted, we classified your organization as one that is not a private foundation within the meaning of section 509(a) of the Code because you are an organization described in section 509(a)(1) and 170(b)(1)(A)(vi).

The classification was based on the assumption that your operations would continue as stated in the application. If your sources of support, or your purposes, character, or method of operations have changed, please let us know so we can consider the effect of the change on your exempt status and foundation status.

As of January 1, 1984, you are liable for taxes under Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more you pay to each of your employees during a calendar year. You are not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, you are not automatically exempt from other Federal excise taxes. If you have any questions about excise, employment, or other Federal taxes, please let us know.

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Elderserve Inc.

You are required to file Form 990, Return of Organization Exempt from Income Tax, only if your gross receipts each year are normally more than \$25,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of your annual accounting period. The law imposes a penalty of \$10 a day, up to a maximum of \$5,000, when a return is filed late, unless there is reasonable cause for the delay.

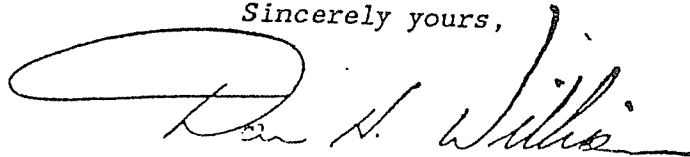
You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T, Exempt Organization Business Income Tax Return. In this letter, we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

Because this letter could help resolve any questions about your exempt status and foundation status, you should keep it in your permanent records.

If you have any questions, you may contact us at the address or telephone number shown in the heading of this letter.

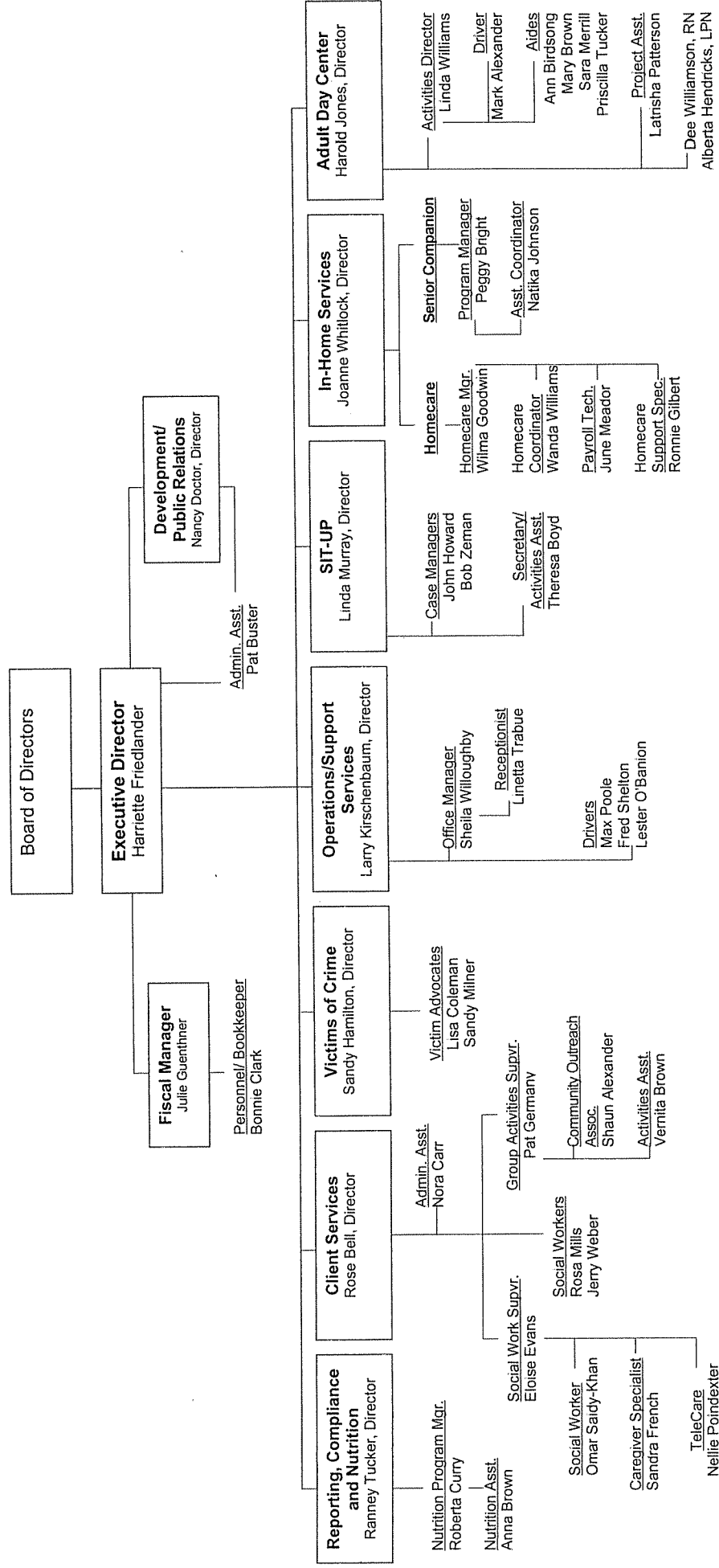
This is an affirmation letter.

Sincerely yours,

A handwritten signature in dark ink, appearing to read "Don H. Williams". The signature is fluid and cursive, with a large loop at the beginning and a long, sweeping tail.

Don H. Williams
Acting District Director

ElderServe, Inc.



ElderServe, Inc. improves the quality of life for older adults in our community.

**ElderServe, Inc.
Board of Directors
2004-2005**

President

Eleanor L. Foreman
749 S. 44th Street
Louisville, KY 40211
776-7364
778-0721 Office and FAX
eforeman749@juno.com

Term started: 1996
Term ending: 2005
Term serving: Third

Office term ends: 2005

Vice-President

Julia Meredith, C.P.A.
Monroe, Shine Inc.
10400 Linn Station Road, Ste. 225
Louisville, KY 40223
423-0311
339-7103 FAX
jam@monroeshine.com

Term started: 1997
Term ending: 2006
Term serving: Third

Office term ends: 2005

Secretary

Deborah Moessner
Vice President Sales, Individual
Anthem Blue Cross/Blue Shield
1901 Campus Place
Louisville, KY 40299
261-2135
261-2584 FAX
245-7862
deb_moessner@anthem.com

Term started: 1997
Term ending: 2006
Term serving: Third

Office term ends: 2005

Treasurer

Debbie P. Prewitt
Vice President
Stock Yards Bank and Trust Company
1040 East Main St.
Louisville, KY 40206
625-1753
625-0333 FAX
debbie.prewitt@syb.com

Term started: 1999
Term ending: 2005
Terms serving: Second

Office term ends: 2005

William Altman
Senior Vice President
Compliance, Risk Management & Government Programs
Kindred Healthcare
680 S. 4th St.
Louisville, KY 40202
596-7161
596-4213 FAX
william_altman@kindredhealthcare.com

Term started: 2004
Term ending: 2007
Term serving: First

J. Barry Barker
Executive Director
TARC
1000 West Broadway
Louisville, KY 40203
561-5100
213-3244 FAX
jbarrybarker@ridetarc.org

Term started: 1999
Term ending: 2005
Term serving: Second

A. Frazier Curry
2209 High Pine Drive
Louisville, KY 40214
368-0081
afcurry@earthlink.net

Term started: 2001
Term ending: 2007
Term serving: Second

Pat Daulton
11602 Chapel Hill Road
Prospect, KY 40059
228-7953 (Phone and FAX)
PNDaulton@aol.com

Term started: 2002
Term ending: 2005
Term serving: First

George Emont
Triathlon Medical Venture Partners LLC
201 E. Jefferson St., Ste. 315A
Louisville, KY 40202
569-1590
569-1591 FAX
gemont@tmvp.com

Term started: 2001
Term ending: 2007
Term serving: Second

Thomas C. Fenton
Attorney at Law
Morgan & Pottinger, PSC
601 W. Main St.
Louisville, KY 40202
560-6754
560-6854 FAX
tcf@morganandpottinger.com

Term started: 2003
Term ending: 2006
Term serving: First

Christian Furman, M.D.
Assistant Professor
University of Louisville
Med Center One, Suite 270
501 E. Broadway
Louisville, KY 40202
852-1998
852-7142 FAX
cdfurm02@louisville.edu

Term started: 2003
Term ending: 2006
Term serving: First

Stephanie Lynn Garrett, M.D. Assistant Professor, Dept. of Family and Geriatric Medicine University of Louisville Med Center One, 501 E. Broadway, Ste. 240 Louisville, KY 40202 852-5201 852-0651 FAX s0garr04@louisville.edu	Term started: 2005 Term ending: 2008 Term serving: First
--	--

W. Michael Hanks Vice President US Bank Corporate Trust Services One Financial Square Louisville, KY 40202 562-6602 562-6371 FAX michael.hanks@usbank.com	Term started: 1999 Term ending: 2005 Term serving: Second
--	---

Stephen L. Henry, M.D. 2550 Ransdell Avenue Louisville, KY 40204 454-0955 heathersveterans@aol.com	Term started: 1999 Term ending: 2005 Term serving: Second
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David O. Hester Senior Risk Management Consultant American Physicians Assurance Corporation PO Box 221709 Louisville, KY 40252 339-5703 339-5757 FAX dhester@apassurance.com	Term started: 2005 Term ending: 2008 Term serving: First
---	--

Dan Ison Senior Consultant Guthrie Mayes & Associates 710 W. Main St. Louisville, KY 40202-2676 584-0371 584-0207 FAX 387-5251 lobbygp@aol.com	Term started: 2000 Term ending: 2006 Term serving: Second
--	---

Linda Hitt Kempf
President
All About Care...CARE CHECK
1114 Flat Rock Road
Louisville, KY 40245
244-2273
641-5313 Cell,
estrogen@bellsouth.net

Term started: 2004
Term ending: 2007
Term serving: First

Brenda Kissel
National City Bank
101 S. 5th St., T-11J [Stacy, Betty] T11S
Louisville, KY 40202
581-6906
581-4195 FAX
brenda.kissel@nationalcity.com

Term started: 1996
Term ending: 2005
Term serving: Third

Trish Klusmeier
Chief Financial Officer
Public Radio Partnership
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814-6526
814-6599 FAX
tklusmeier@prp.org

Term started: 2003
Term ending: 2006
Term serving: First

Thomas Kmetz
President, South/Central Market
Norton Healthcare
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636-7269 FAX
thomas.kmetz@nortonhealthcare.com

Term started: 2002
Term ending: 2005
Term serving: First

Anne Murner
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8502 Todds Point Road
Crestwood, KY 40014
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241-8270 FAX
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Term started: 2000
Term ending: 2006
Term serving: Second

Carolyn Neustadt - Representing Natl. Council of Jewish Women
216 Smithfield Road
Louisville, KY 40207
895-9392
neustadt@insightbb.com

Term started: 2005
Term serving: First

Melissa O'Brien 403 Wooded Falls Road Louisville, KY 40243 245-3080 mobrien@humana.com	Term started: 1998 Term ending: 2007 Term serving: Third
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Donald Renau Attorney Kiely Hines and Associates PO Box 7669 Louisville, KY 40257-7669 893-2020 508-462-7794 EAX drenau@thepoint.net	Term started: 2001 Term ending: 2007 Term serving: First
---	--

Dan Scott Vice President Cancer Service Line Norton Healthcare 315 E. Broadway, 4 th Floor Louisville, KY 40202 629-2992 629-2890 FAX dan.scott@nortonhealthcare.org	Term started: 2004 Term ending: 2007 Term serving: First
---	--

David W. Tandy 4 th District Councilman Louisville Metro Council 601 W. Jefferson St. Louisville, KY 40202-2741 574-1104 574-3453 david.tandy@loukymetro.org	Term started: 2005 Term ending: 2008 Term serving: First
--	--

Jay Phillip Turner Attorney at Law Hall, Render, Killian, Heath & Lyman, P.S.C. 614 W. Main St., Suite 4000 Louisville, KY 40202 568-1890 568-4878 FAX jay.turner@insightbb.com	Term started: 2003 Term ending: 2006 Term serving: First
--	--

Sharon Weissbach Research Analyst National City Bank 101 S. 5 th St. T07S Louisville, KY 40202 581-5435 581-7953 FAX sharon.weissbach@nationalcity.com	Term started: 1998 Term ending: 2007 Term serving: Third
--	--

Bettie Weyler
Kentucky Education Association, Retired
3117 Graystone Manor Parkway
Louisville, KY 40241
327-9447
893-8751 FAX
bettieweyler@mindspring.com

Term started: 2004
Term ending: 2007
Term serving: First

Rob Winkler
Human Resources Specialist
The Kroger Company
10168 Linn Station Road
Louisville, KY 40223
423-4168
423-4182 FAX
551-5338
rob.winkler@kroger.com

Term started: 2000
Term ending: 2006
Term serving: Second

Equal Employment Opportunity Policy Statement

ElderServe, Inc., is an equal employment opportunity employer. It is the policy of the Agency to afford equal employment opportunity to all individuals regardless of race, color, religion, sex, national origin, age, handicap or disability, or Vietnam era veteran or disabled veteran status. The Agency does not discriminate in any decision affecting employment or conditions of employment against any employee or applicant for employment because of any of these factors.

The Agency will recruit, hire, train, and promote persons in all job titles without regard to race, color, religion, sex, national origin, age, handicap or disability, or Vietnam era veteran or disabled veteran status. The Agency will base decisions on employment so as to further the principle of equal employment opportunity. The Agency will ensure that promotion decisions are in accord with the principle of equal employment opportunity by imposing only valid requirements for promotional opportunities, and that all personnel decisions and actions, such as compensation, benefits, transfers, layoffs, returns from layoff, and company-sponsored training, education, tuition assistance, and social and recreation programs, will be administered without regard to race, color, religion, sex, national origin, age, handicap or disability, or Vietnam era veteran or disabled veteran status.

Guidelines

The obligations set forth in the Policy Statement extend to all decisions affecting employment and conditions of employment.

The Policy Statement is followed in the implementation and administration of all employment practices, policies, and procedures.

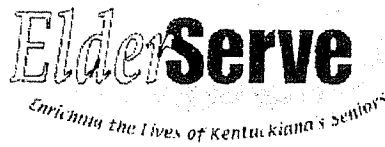
All employees of the Agency are expected to comply with the Policy Statement. Managers and supervisors are responsible for fulfilling the obligations set forth in the Policy Statement and for meeting any applicable affirmative action obligations, and their execution of this responsibility is considered in the evaluation of their job performance.

As required by applicable laws and regulations, the Agency maintains affirmative action plans designed to comply with the provisions of Executive Order 11246, Section 503 of the Rehabilitation Act of 1973, and Section 402 of the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended, and the regulations promulgated thereunder. These plans monitor all personnel practices to ensure their non-discriminatory effect, identify problem areas, develop goals where appropriate to address areas of underutilization of minorities or women, and state action oriented programs designed to accomplish the goals and objectives of the plans.

The Agency's EEO officer is responsible for the successful implementation of the affirmative action plans, for monitoring the achievements and problem areas of such plans, and for periodically reporting to management on these matters. Employees are encouraged to communicate any questions or concerns to Bonnie Clark, the Agency's EEO officer.



Harriette Friedlander, Executive Director
January 1, 1996



Client Satisfaction Survey

This survey is confidential; please do not put your name on it. The information will be used to determine how ElderServe can improve its services to you.

1. My age is: ☐ 55 to 60 ☐ 61 to 65 ☐ 66 to 70 ☐ 71 to 75
 ☐ 76 to 80 ☐ 81 to 85 ☐ 86 to 90 ☐ over 90

2. ☐ Male ☐ Female

3. Please check all categories that describe you:

- ☐ I am a participant ☐ I am a caregiver
☐ I am an interested individual

4. Please rate all of the activities that you have used this year by making a check mark under the appropriate rating.

Rate with a ☒

Activities	Poor	Fair	Very Good	Excellent
Advocacy				
Aerobics				
Arts and Crafts				
Bingo				
Card Games				
Counseling				

	Poor	Fair	Very Good	Excellent
Education Programs				
Health Screenings / Fairs				
Information and Assistance				
Line Dancing				
Nutrition				
Pool				
Socials / Dances / Parties				
Support Groups				
Tai Chi				
Trips / Outings				
Walking				
Yoga				
Other (Describe)				

5. Tell us what other programs, activities, or services you would like to see offered:

6. Tell us how important the following issues or activities are to you:

Rate with a ☒

Issue	Not Important	Somewhat Important	Very Important
Transportation			
Nutrition Program			
Availability of Social Workers			
Open until 4:30 p.m.			
Availability of weekend activities			

7. Tell us if the following are satisfactory or unsatisfactory:

Rate with a ☒

	Satisfactory	Unsatisfactory
Space for activities at Oak and Acorn		
Space for activities at Dosker Manor		
Variety of activities at Oak and Acorn		
Variety of activities at Dosker Manor		

8. Tell us if you have any interest in the following programs and activities:

Rate with a ☒

	No Interest	Some Interest	Much Interest
Auto Repair Information			
Home Repair Services			
Home Safety Inspection			
Out of State Trips			
Volunteering			
Part Time Employment			
Driver Safety Class			

9. List any additional comments on the back of this survey.

ElderServe

Satisfaction Survey

Date _____

Thank you for giving us your assessment of the following Services:

- _____ Caregivers Support
- _____ Caregivers Training
- _____ Caregivers Respite
- _____ Caregivers Counseling

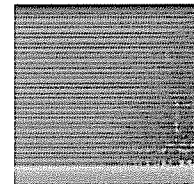
	Agree	Disagree
The session(s) answered many of my questions regarding resources and support	_____	_____
The education and support provided to me was helpful	_____	_____
The verbal information provided to me was helpful	_____	_____
The printed information provided to me was helpful	_____	_____
The session(s) increased my confidence in my caregiver role	_____	_____
I would recommend these session(s) to others in need of caregiver assistance.	_____	_____
(Answer only if you used Respite) This service was helpful to me	_____	_____

Comments or Suggestions

Name (optional) _____

Thank you!

This project funded, in part, under contract with KIPDA Area Agency on Aging through the Cabinet for Health and Family Services with funds from the U.S. Department of Health and Human Services.

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0048013

Name

ELDERSERVE, INC.

Profit or Non-Profit

N - Non-profit

Company Type

KCO - Kentucky Corporation

Status

A - Active

Standing

G - Good

State

KY

File Date

9/10/1962

Organization Date

9/10/1962

Last Annual Report

6/22/2005

Principal Office

411 E. MUHAMMAD ALI BLVD.
LOUISVILLE, KY 40202

Registered Agent

HARRIETTE FRIEDLANDER
411 E. MUHAMMAD ALI BLVD.
LOUISVILLE, KY 40202

Current Officers**President**

Eleanor Foreman

Vice President

Julia Meredith

Secretary

DEBORAH MOESSNER

Treasurer	<u>DEBBIE PREWITT</u>
Director	<u>Julia Meredith</u>
Director	<u>William Altman</u>
Director	<u>J Barry Barker</u>

Incorporators and Initial Directors

Director	<u>MATILDA MEYER</u>
Incorporator	<u>MATHILDA MEYER</u>
Director	<u>JEAN F. TRAUB</u>
Incorporator	<u>JEAN F. TRAUB</u>
Director	<u>MARION W. HORNER</u>
Incorporator	<u>MARION W. HORNER</u>
Director	<u>GEO. D. KOBICK</u>
Incorporator	<u>GEO. D. KOBICK</u>
Director	<u>WM. L. JONES</u>
Incorporator	<u>WM. L. JONES</u>

This organization has no assumed names**Previous Names****Certificates Available**[Certificate of Existence](#)[Certificate of Registered Agent \(Domestic and Foreign\)](#)

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